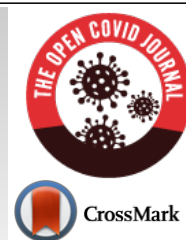




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## PERSPECTIVE ARTICLE

# Patent Insight into the Development of Therapeutic Strategies against Coronaviruses

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### Abstract:

Coronaviruses are a group of RNA viruses, which cause diseases in humans. The emergence of COVID-19, has caused a global pandemic. It is focused on developing an effective therapeutic strategy against COVID-19. To better understand the development and evolution of therapeutic strategies against coronaviruses, we conducted US granted patents analysis. The results showed vaccines played a leading role in therapies against coronaviruses. Both attenuated vaccines and recombinant genetic vaccines were very important approaches in vaccine development against coronaviruses. It is not a rapid approach to develop peptide drugs against COVID-19 or future novel coronaviruses. The study was the first one to show the development and evolution in therapeutic strategies against coronaviruses based on patent insight. The present study provides a new insight into the development of therapeutic strategies against coronaviruses.

**Keywords:** Vaccines, Coronaviruses, Patent, Therapeutic strategy, Patent analysis, COVID-19, Diseases.

### Article History

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## 1. INTRODUCTION

Coronaviruses are a group of RNA viruses, which cause diseases in cats, dogs, and humans, resulting in respiratory tract infections [1 - 3]. Most infections caused by human coronaviruses are relatively mild, *e.g.*, the common cold. However, some lethal coronaviruses can cause severe diseases [4]. For example, lethal coronaviruses of Severe Acute Respiratory Syndrome (SARS) caused by SARS-CoV in 2003 [5], and Middle East Respiratory Syndrome (MERS) caused by MERS-CoV in 2012 [6, 7], as well as recently identified Coronaviruses Disease 2019 (COVID-19) caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) [8, 9]. The coronaviruses can make people sick, and its symptoms include a runny nose, cough, sore throat. However, COVID-19 shows diversity in symptoms than ordinary coronaviruses, and it seems to spread more easily than SARS [10 - 14].

The emergence of COVID-19 has caused a global pandemic [15]. It is necessary on developing an effective therapeutic strategy against COVID-19. Currently, both attenuated vaccines and recombinant genetic vaccines are important approaches against coronaviruses. However, it is still unclear which approach is better to against coronaviruses. Thus, it is significant to provide evidence to show which

approach is better to against COVID-19 to help scientists rapidly develop therapeutic strategies. In addition, it is also significant to understand evolution in therapeutic strategy against coronaviruses. The article provides development, evolution, and new insight into therapeutic strategy against coronaviruses by patent evidence.

To better understand the development and evolution of therapeutic strategy against coronaviruses, we conducted US granted patents analysis. International Patent Classification (IPC) subclasses A61K39/215 (covering coronaviridae) were used for search. All patent publications from January 1953 to January 2021 were retrieved. The patent documents were searched by using International Patent Classification in the Patsnap system. The Patsnap software was used for analysis.

## 2. PATENT FILING PROFILE

We identified 249 US granted patent documents in the coronaviruses area. Patent filings surrounding coronaviruses had shown an increase trend since 1991. There were three “peaks” for published patents in the period 1953-2021. The three “peak” in the patent-filing profile were occurred in 1995, 2004, and 2015, respectively. As showed in Fig. (1), published patents surrounding coronaviruses reached an all-time high in 1995, with the number of published patent documents up to 25. The patent-filing activity was obviously in the period 1993-2019 IP filings surrounding the coronaviruses area have

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been relatively steady at approximately 5 documents per year since 1993. The total number of patent documents filing in 1995, 2004, and 2015 accounted for about 23% of all patent documents.

### 3. PATENT DISTRIBUTION

There are about 100 assignees in all the patents and approximately 44% of the filings were by top 10 assignees. Intervet is the most prolific assignee with a number of 23 patent documents. Intervet accounts for approximately 9% of all patent documents. As shown in Fig. (2), Intervet is the leader in the number of patents, followed by Wyeth and Merial. Among the top 10 assignees, 8 assignees are companies, and 2

assignees were research institutions. As the largest assignee, Intervet IP had 5 US patents which had family patents in China. Zoetis is the largest assignee in family patents, which had 9 family patents in China.

There were 5 assignees which the numbers of patents were more than 10. The analysis showed that the ten leading assignees of patents surrounding coronaviruses were almost from small or medium companies. Examining the timing and patent assignees, most of the assignees demonstrated continuous prolific patents in the period 2002 -2021 (Fig. 3). Zoetis is an applicant which keeps very steady in the number of patents over past 20 years.

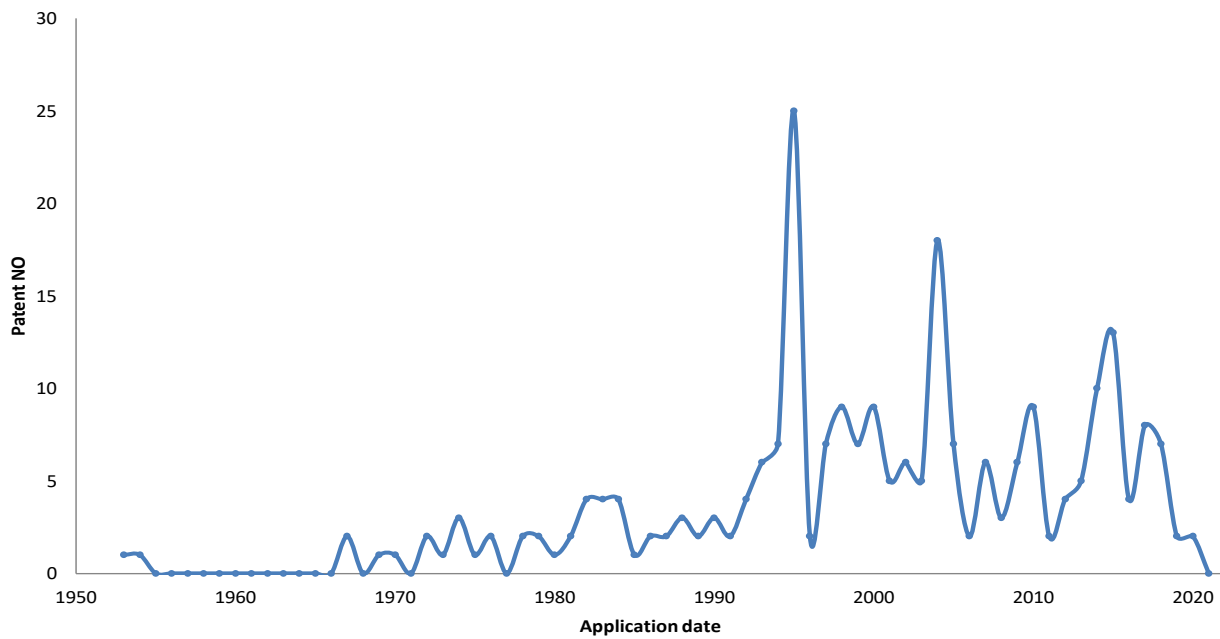


Fig. (1). U.S. granted patent-filing profile related coronaviruses.

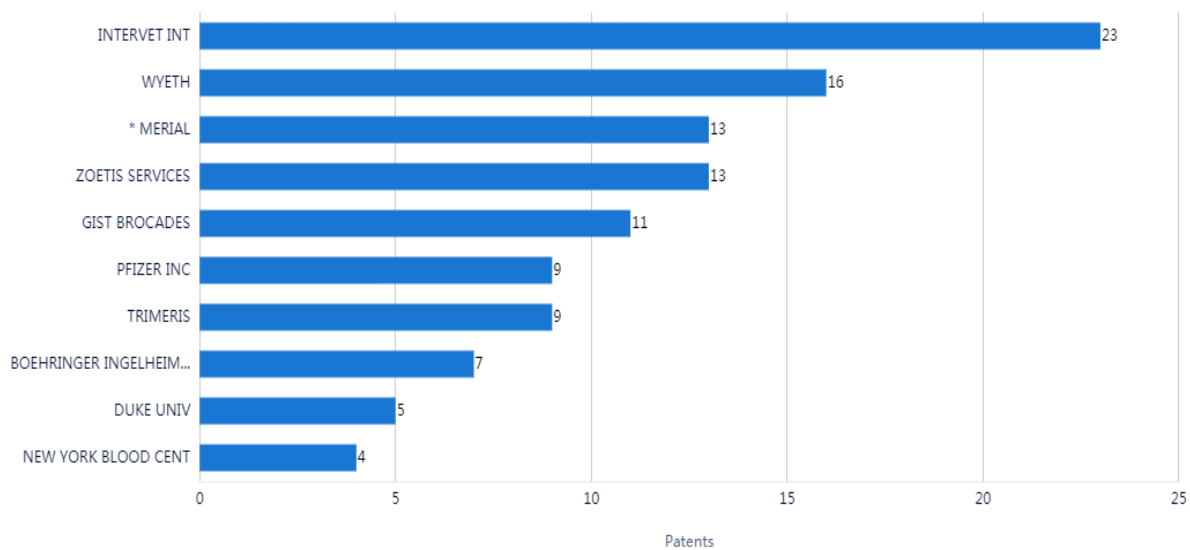


Fig. (2). Top assignees related coronaviruses.

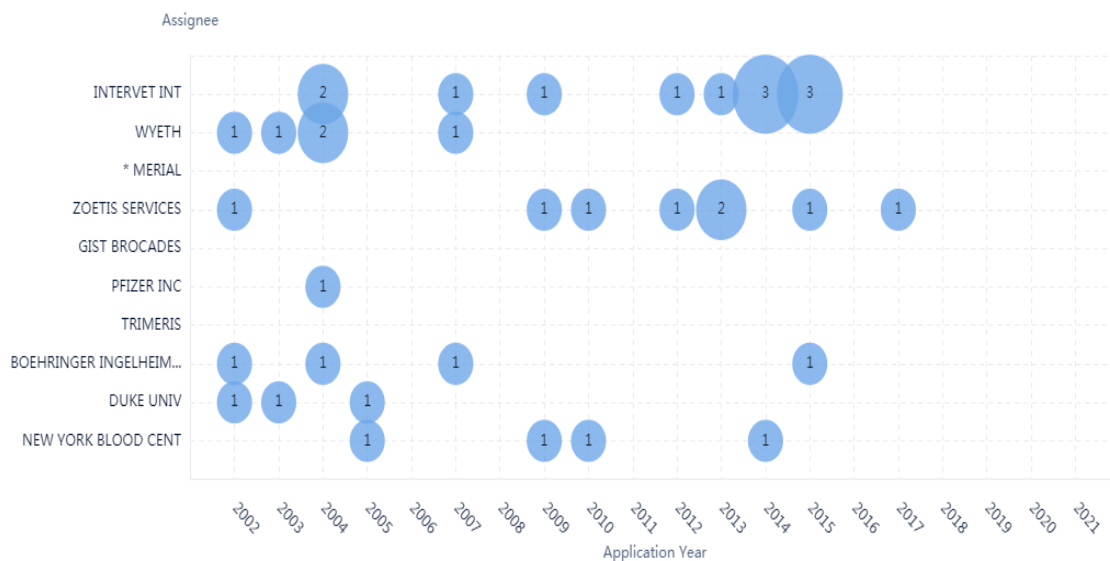


Fig. (3). Top assignees evolution of related coronaviruses.

4. TECHNOLOGY AREAS

As shown in Fig. (4), all the IPC are related to vaccines, antibody or peptides, For example, IPC of C07K14, C12N15, C12N7, C12P21, and C07K16, all of which were related to the vaccine or antibody technologies. As showed in Fig. (5), the

Evolution analysis of IPC showed that the number of patents was in a stable stage between 2002 and 2020. It showed that the majority of the therapies against coronavirus were focused on the vaccine, which reflects the focus on therapeutic strategy. Recently granted patents against coronaviruses were shown in Table 1.

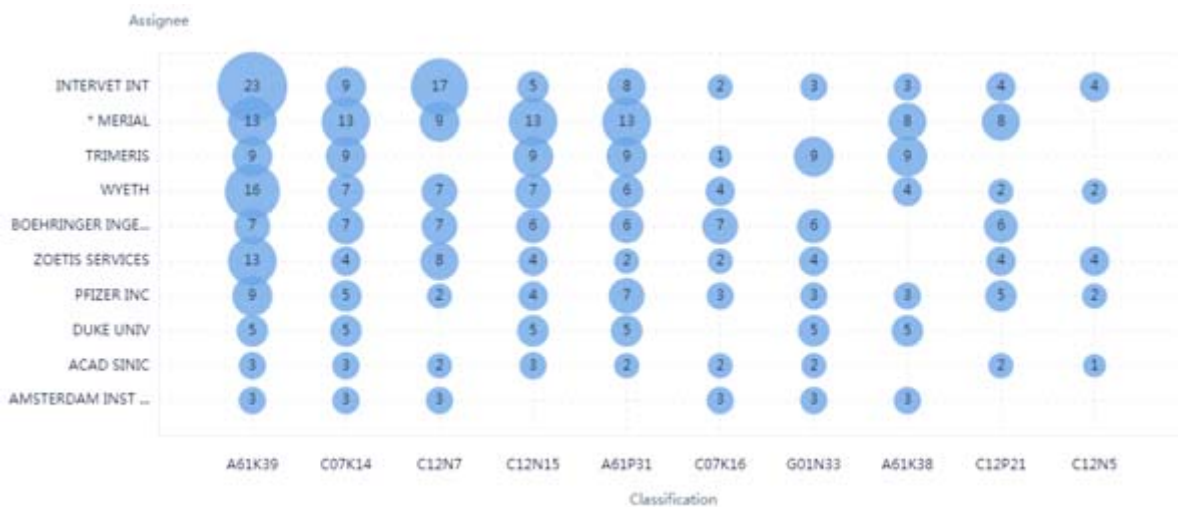


Fig. (4). The analysis of top assignees and IPC.

Table 1. Recent patents against coronaviruses.

Publication Number	Title	Application Date	Current Assignee
US10821172B2	Vaccine composition and preparation method and use thereof	2017-11-03	Pulike Biological Engineering, Inc.
US10660854B2	Thermostable vaccines based on ether lipids and native viral envelope proteins	2017-11-15	Batavia Biosciences Inc.
US10117929B1	Nucleic acid-based adjuvant and porcine vaccine composition including the same	2017-11-23	National Pingtung University Of Science And Technology
US10329538B2	Live attenuated Arkansas serotype infectious bronchitis virus vaccine	2018-01-26	University Of Georgia Research Foundation, Inc.

Publication Number	Title	Application Date	Current Assignee
US10130702B2	Vaccine composition and preparation method and use thereof	2018-01-31	Pulike Biological Engineering, Inc.
US10702601B2	Porcine epidemic diarrhea virus vaccine	2018-03-14	Boehringer Ingelheim Animal Health Usa Inc.
US10548971B2	MERS-CoV vaccine	2018-06-29	The Trustees Of The University Of Pennsylvania   Inovio Pharmaceuticals, Inc.
US10772953B2	Recombinant spike ectodomain proteins, compositions, vectors, kits, and methods for immunizing against avian infectious bronchitis virus	2018-08-09	Auburn University
US10213509B2	Reduced foaming vaccine compositions	2018-09-10	Abic Biological Laboratories Ltd.
US10849972B2	Trimeric S1-CD40L fusion protein vaccine against Middle East respiratory syndrome-coronavirus	2018-11-27	King Abdulaziz University
US10610596B2	Methods of making solid vaccine compositions having reduced foaming	2019-01-24	Abic Biological Laboratories Ltd.
US10759846B2	Middle east respiratory syndrome coronavirus immunogens, antibodies, and their use	2019-05-03	The United States Of America, As Represented By The Secretary, Department Of Health And Human Services
US10905758B2	Intranasal vector vaccine against porcine epidemic diarrhea	2019-09-18	Boehringer Ingelheim Vetmedica GmbH
US10702600B1	Betacoronavirus mRNA vaccine	2020-02-28	Moderna, Inc.
US10806784B1	Composition and method for treating MERS	2020-08-06	King Abdulaziz University



Fig. (5). IPC evolution related coronaviruses.

Examining the timing and technical content of a company’s IP filings provides valuable insight into their strategy in therapies against coronaviruses. Companies have varied positions within the therapies against coronaviruses. Intervet IP focused on vaccine technologies in both attenuated vaccines containing attenuated infectious bronchitis virus and in recombinant genetic vaccines containing recombinant manipulation vaccine. Merial IP mainly focused on the development of recombinant genetic vaccines, while Trimerish was highly specialized in direct peptides containing potent anti-retroviral activity.

The patent 3D landscape provides an overview of the patents of technologies against coronaviruses. The number of patents was showed by dots, and the patent documents with similar contents were delineated by contour lines. The white “peaks” represent areas having the most in the number of patent areas. There were three major “peaks” in the patent 3D landscape. As showed in Fig. (6), “Peak 1” represented those patent documents with traditional attenuated vaccines, “Peak 2” represented those patent documents with represented genetic recombinant vaccines, and “Peak 3” showed those patent documents about peptides with antifusogenic and antiviral activities.



Fig. (6). Current 3D Landscaping related coronaviruses.

Examining the timing and 3D landscape of IP filings provides valuable insight into their therapeutic development against coronaviruses. We analyzed three periods in the 3D landscape. As showed in Figs. (7 and 8), only “Peak 1” was formed in the 3D landscape before 1992, which indicated that attenuated vaccine was a major trend in therapeutic

development before 1992 and there were almost no genetic recombinant vaccines against coronavirus before 1992. The recombinant genetic vaccines against coronavirus were starting appeared in the period 1992-1998, which indicated recombinant genetic vaccines against coronavirus were rapidly developed after 1992. Patents surrounding peptides were starting developed in 1995.

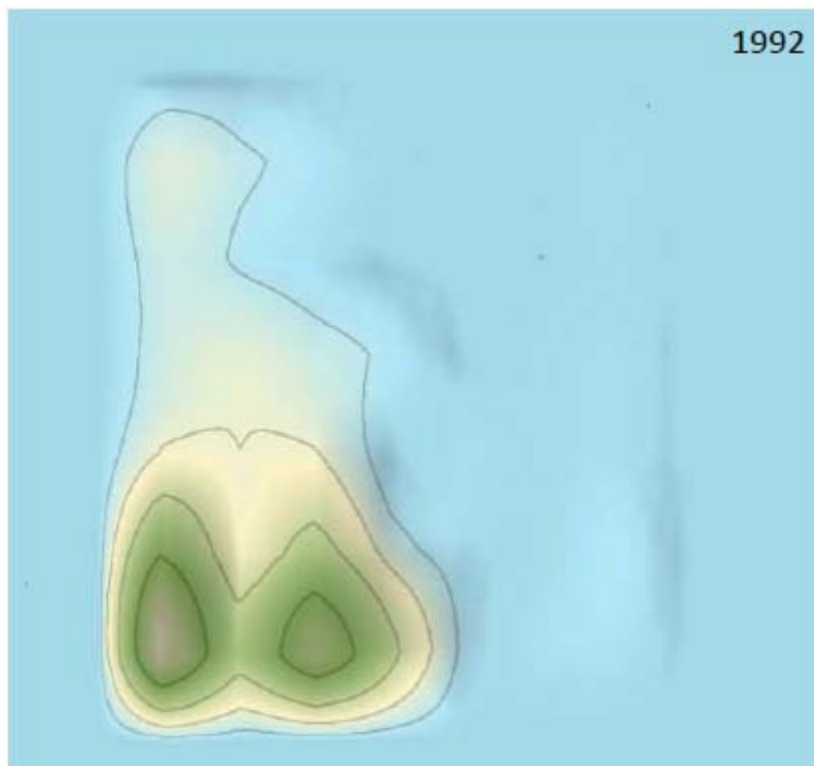


Fig. (7). 3D Landscaping before 1992.



**Fig. (8).** 3D Landscaping before 1998.

## 5. RESULTS AND DISCUSSION

There were three “peaks” for published patent documents in the period 1953-2021. The Year of 1995 was a patent-filing activity year, which may contribute new technology development in recombinant genetic vaccine. The emergence of new technology can promote rapid patent filings. The second patent-filing activity year was in 2004, which may be due to the pandemic of SARS in 2003. The outbreak of SARS resulted in a significant increase in the number of patients. The third patent-filing activity year was in 2015, which may be due to the outbreak of MERS. The patent-filing activity against coronavirus is correlated with pandemic and the emergence of new technologies. The significant feature of patent-filing surrounding coronavirus is obviously periodic, which may relate with the periodic occurrence of pandemics in coronaviruses.

The 3D landscape of IP filings provides valuable insight into their therapeutic development against coronaviruses. The results showed the preliminary strategy was to develop attenuated vaccines. The subsequent strategy was to develop recombinant genetic vaccines to against coronaviruses. A recent developing strategy was to develop peptides against coronaviruses. Currently, those three strategies are coexisted in therapies against coronaviruses, as showed in Figs. (7 and 8).

However, vaccines including attenuated vaccines and recombinant genetic vaccines were dominant in therapy against coronaviruses. Polypeptides played only a minor role in therapies against coronaviruses. The analysis showed that the top leading assignees of patents surrounding coronaviruses were basically from small or medium companies. This is significantly different with research and development in anti-cancer drugs. Most of anti-cancer drugs is developed by large global pharmaceutical companies.

The 3D landscape showed that vaccines played a major role in therapy against coronaviruses. Evolution ‘maps’ in therapeutic development against coronaviruses were showed by the timeline in the 3D landscape. Genetic recombinant vaccines were rapidly developed in the past 20 years, which accounted for approximately half of all the technologies in vaccines. As showed in Fig. (9), Word cloud analysis showed words of “vaccine composition”, “immune response”, were the highest frequent in use of all the patent documents. Word cloud indicated that vaccines were a vital approach in therapeutic development against coronaviruses, which was corresponding to the analysis of the patent 3D landscape. Base on the patent analysis of recent development in therapies against coronaviruses, the results indicated that vaccines play a leading role in therapies against coronaviruses.

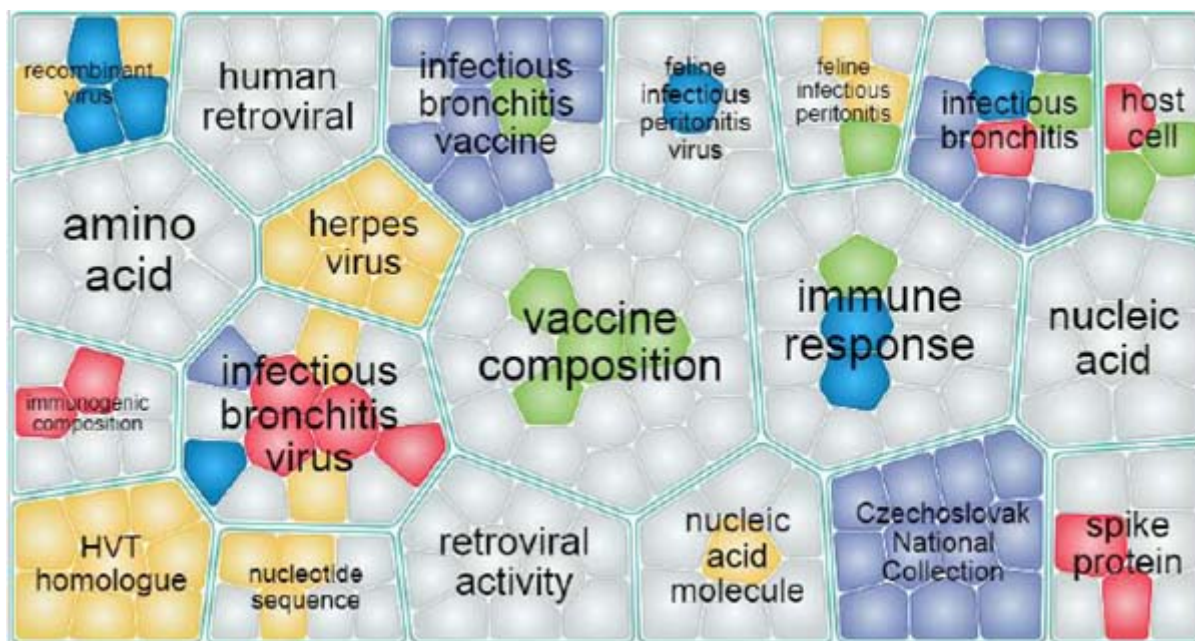


Fig. (9). The Word Cloud analysis related coronaviruses.

**CONCLUSION**

The study was the first time to show the development in therapeutic strategies against coronaviruses based on patent insight. Currently, vaccines play a leading role in therapies against coronaviruses. Both attenuated vaccines and recombinant genetic vaccines are a very important approach in vaccine development against coronaviruses. The peptide molecules may open new windows in therapy against coronaviruses. However, an effective and rapid method to develop a therapeutic strategy against coronaviruses or COVID-19 is to develop vaccines. It is not a rapid approach to develop peptide drugs against COVID-19 or future novel coronaviruses. Our study is the first time to show developing evolution ‘map’ in therapeutic strategies against coronaviruses by the 3D landscape. The present study provides significant insight for rapidly developing therapeutic strategies against COVID-19.

**CONSENT FOR PUBLICATION**

Not applicable.

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**CONFLICT OF INTEREST**

The authors confirm that this article content has no conflict of interest.

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